

2131

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (To be used for all correspondence after initial filing)	Application Number	09/360,068	
	Filing Date	July 23, 1999	
	First Named Inventor	PAGE, Kevin	
	Group Art Unit	2131	
	Examiner Name	HAYES, Gail O.	
Total Number of Pages in This Submission	8	Attorney Docket Number	2322-0482

RECEIVED  
OCT 15 2002  
Technology Center 2160

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communications to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input checked="" type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<small>Copies of: Postcard Receipt dated 11/5/01 &amp; date stamped 12/21/01; Transmittal Form dated 11/5/01 &amp; filed 11/5/01; Revocation of Power of Attorney dated 10/18/01 &amp; filed 11/5/01; Power of Attorney dated 10/18/01 &amp; filed 11/5/01; and Statement under 37 CFR 3.73(b) dated 10/18/01 &amp; filed 11/5/01; and Return Postcard</small>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
ATTORNEY NAME	Kathleen L. Connell, Registration No. 45,344
FIRM	BROWN, MARTIN, HALLER & McCLAIN, LLP
SIGNATURE	
DATE	October 7, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <span style="border: 1px solid black; padding: 2px;">October 7, 2002</span>			
Typed or printed name	Sachiko Y. Snedden		
Signature		Date	October 7, 2002



RECEIVED

OCT 15 2002

Technology Center 2100

THE STAMP OF THE U.S. PATENT AND TRADEMARK OFFICE AFFIXED HERETO  
WILL BE EVIDENCE OF RECEIPT OF THE FOLLOWING MAILED VIA FIRST CLASS  
MAIL ON November 5, 2001

Transmittal Form; Revocation of Power of Attorney or Authorization of Agent;  
Power of Attorney or Authorization of Agent; Statement Under 37 CFR 3.73(b)  
and Return Postcard

INVENTOR: Kevin J. Page, et al.  
FOR: Method and Apparatus for Establishing a Secure Smart Card  
Communication Link through a Communication Network  
SERIAL NO. 09/360,068 Filed July 23 1999  
DOCKET NO. 2322 -0482 KLC:be

PLEASE STAMP HERE (THANK YOU):



COPY



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/360,068	
	Filing Date	July 23, 1999	
	First Named Inventor	Kevin Page, et al	
	Group Art Unit	2763	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	4	Attorney Docket Number	2322-0482

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) Statement Under 37 CFR 3.37(b) and Return Postcard
RECEIVED OCT 15 2002 Technology Center 2100		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Kathleen L. Connell, Regis. No. 45,344
Signature	
Date	November 5, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: November 5, 2001			
Typed or printed name	Beverly Erdman		
Signature		Date	November 5, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

COPY



#8

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of )  
PAGE, Kevin J. *et al.* )  
Serial No.: 09/360,068 )  
Filed: July 23, 1999 )

FOR: METHOD AND APPARATUS FOR  
ESTABLISHING A SECURE SMART  
CARD COMMUNICATION LINK  
THROUGH A COMMUNICATION  
NETWORK

Group Art Unit: 2131

COMMUNICATION AND STATUS INQUIRY

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Examiner Gail O. Hayes

RECEIVED  
OCT 15 2002  
Technology Center 2100

Dear Sir:

A review of the PAIR System, revealed that the Power of Attorney submitted on November 5, 2001 was not entered into the file. Enclosed is a copy along with postcard showing receipt at the USPTO. Applicants now request that these documents be entered into the file.

The USPTO sent a communication indicating that examination was estimated for May 2002. More than 3 years has passed since the filing of this application on July 23, 1999, and no Office Action has been received from the Patent Office. It is respectfully requested that an updated estimate for examination be provided.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on:

October 7, 2002

(Mailing Date)

Sachiko Y. Snedden

(Typed Name)

(Signature)

October 7, 2002

(Date of Signature)

Kindly advise the undersigned of the present status of this application by checking the appropriate box below and returning the enclosed copy of this Status Inquiry in the envelope provided:

**Serial No.: 09/360,068 FILED: July 23, 1999**

☐ **Application awaiting action by the examiner.**

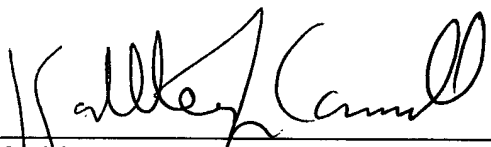
☐ **Awaiting applicant's response to the Office Action mailed**  
\_\_\_\_\_.

An expedited response is requested.

Respectfully submitted,

Dated: Oct 7, 2002

By: \_\_\_\_\_

  
Kathleen L. Connell  
Attorney for Applicant  
Registration No. 45,344

BROWN MARTIN HALLER & McCLAIN, LLP  
1660 Union Street  
San Diego, California 92101-2926

Telephone: (619) 238-0999  
Facsimile: (619) 238-0062

Docket No.: 2322-0482